



JOHN J. OSKOREP, ESQ. LLC
ATTORNEY AT LAW
REGISTERED TO PRACTICE BEFORE THE
U.S. PATENT & TRADEMARK OFFICE

IF Corp

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TO: MAIL STOP: PETITION
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. Box 1450
ALEXANDRIA, VA 22313-1450

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JUN 19 2006

RE: RENEWED PETITION UNDER 37 C.F.R. 3.81
**METHODS AND APPARATUS FOR THERMALLY BONDING
LUBRICANT TO A DISK SURFACE WITH USE OF A HEATING
ELEMENT FORMED IN A MAGNETIC HEAD**

OFFICE OF PETITIONS

INVENTOR (S): GILLIS ET AL.
HITACHI DOCKET No.: HSJ9-2003-0117US2
U.S. ATTORNEY DOCKET No.: 0107-0047
USPTO APPLICATION No.: 10/675,696
FILING DATE.: 30 SEPTEMBER 2003

DEAR COMMISSIONER FOR PATENTS:

THIS IS A "RENEWED" PETITION UNDER 37 C.F.R. 3.81 FOR THE ABOVE-
REFERENCED PATENT APPLICATION.

THE ATTORNEY HANDLING THIS MATTER IS MR. PAUL SHANOSKI.
ATTACHED PLEASE FIND A COPY OF THE PREVIOUS DECISION ON THE PETITION.
THE APPLICANT HEREBY STATES THAT THE ASSIGNMENT WAS SUBMITTED FOR
RECORDATION AS SET FORTH IN 3.11 BEFORE ISSUANCE OF THE PATENT.

ENCLOSED PLEASE FIND A CHECK FOR THE FEE FOR THE CERTIFICATE OF
CORRECTION (\$ 100.00) AND THE CERTIFICATE OF CORRECTION ITSELF.

THANK YOU. PLEASE CONTACT ME IF YOU HAVE ANY QUESTIONS.

BEST REGARDS,

[Signature]
JOHN J. OSKOREP, ESQ.

**Certificate
JUN 19 2006
of Correction**

DATE:

June 2006



PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/675,696
Filing Date	30 September 2003
First Named Inventor	Gillis et al.
Art Unit	2651
Examiner Name	Sniezek
Attorney Docket Number	HSJ9-2003-0117US2

Total Number of Pages in This Submission

6

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JUN 19 2006

OFFICE OF PETITIONS**ENCLOSURES (Check all that apply)**

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input checked="" type="checkbox"/> Petition under 37 C.F.R. 1.183 renewed | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | -Copy of Decision on Petition |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | -Certificate of Correction |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | -Renewed Petition cover letter |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John J. Oskorep, Esq.
Signature	
Date	09 June 2006

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	John J. Oskorep, Esq.		
Signature		Date	09 June 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 15 11:00 AM
 U.S. PATENT & TRADEMARK OFFICE

PTO/SB/17 (01-06)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 100.00

Complete if Known

Application Number 10/675,696
 Filing Date 30 September 2003
 First Named Inventor Gillis et al.
 Examiner Name Sniezak, Andrew L.
 Art Unit 2651
 Attorney Docket No. HSJ9-2003-0117US2

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☐ Deposit Account Deposit Account Number: Deposit Account Name:

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below

☐ Charge fee(s) indicated below, except for the filing fee

☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17

☐ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)

Fee (\$)
 Small Entity Fee (\$)
 50 25

Each independent claim over 3 (including Reissues)

200 100

Multiple dependent claims

360 180

Total Claims Extra Claims Fee (\$)
 Fee Paid (\$)

- 20 or HP = x =

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims Extra Claims Fee (\$)
 Fee Paid (\$)

- 3 or HP = x =

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)
 Fee Paid (\$)

- 100 = / 50 = (round up to a whole number) x =

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Certificate of Correction fee

\$100.00

SUBMITTED BY

Signature [Signature] Registration No. (Attorney/Agent) 41,234 Telephone 312-222-1860
 Name (Print/Type) John J. Oskorep, Esq. Date 09 June 2006

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO. : 6,822,819 B1
DATED : November 23, 2004
INVENTOR(S) : Gillis et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

section 73: Assignee: Hitachi Global Storage Technologies,
Amsterdam (NL)

Changed to

section73: Assignee: Hitachi Global Storage Technologies Netherlands B.V.,
Amsterdam (NL)

MAILING ADDRESS OF SENDER:

John J. Oskorep, Esq. One Magnificent Mile Center
980 North Michigan Avenue Suite 1400
Chicago, Illinois 60611

PATENT NO. 6,822,819 B1

No. of additional copies

 1

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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ALEXANDRIA, VA 22313-1450
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Paper No. None

ATTN: John J. Oskorep
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Suite 1400
980 N. Michigan Avenue
Chicago IL 60611

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In re Application of	:	
Donald Ray Gillis et al.	:	
Application No. 10/675,696	:	
In re Patent No. 6,822,819	:	
Filing Date: September 30, 2003	:	
Issue Date: November 23, 2004	:	DECISION ON PETITION
Attorney Docket Number: HSJ9-	:	UNDER 37 C.F.R. §3.81(B)
2003-0117US2	:	
Title: METHODS AND APPARATUS FOR	:	
THERMALLY BONDING LUBRICANT TO A	:	
DISK SURFACE WITH USE OF A	:	
HEATING ELEMENT FORMED IN A	:	
MAGNETIC HEAD	:	

This is a decision on the petition filed March 14, 2005 under 37 C.F.R. §1.183, which is properly treated as a petition under 37 C.F.R. §3.81(b)¹, to correct the Assignee's information on the Issue Fee Transmittal Form PTOL-85(b).

The Office regrets the period of delay in issuing this decision.

Petitioner requests that a Certificate of Correction be issued correcting the address for an assignee. Petitioner submits a "Certificate of Correction" for this purpose, which indicates that the address of the Assignee which appears on the face of the patent should be changed.

¹ See Official Gazette, June 22, 2004.

37 C.F.R. §3.81(b), effective June 25, 2004, reads:

(b) After payment of the issue fee: Any request for issuance of an application in the name of the assignee submitted after the date of payment of the issue fee, and any request for a patent to be corrected to state the name of the assignee, must state that the assignment was submitted for recordation as set forth in 3.11 before issuance of the patent, and must include a request for a certificate of correction under 1.323 of this chapter (accompanied by the fee set forth in 1.20(a)) and the processing fee set forth in 1.17(i) of this chapter.

Both Petitioner's evidence and Office records show that the assignment for the above-identified application was received in the Office on February 3, 2004, which is prior to the payment of the Issue Fee on October 15, 2004.

Payment of the required \$130 processing fee has been submitted. However, the required \$100 certificate of correction fee has not been received, and it does not appear that Petitioner has provided authorization to charge any deficiency to a Deposit Account.

As such, the present petition must be **DISMISSED**.

Any reply must be submitted within **TWO (2) MONTHS** from the mail date of this decision, and should include the deficient payment. Extensions of time under 37 C.F.R. §1.136(a) are permitted.

The renewed petition should include a cover letter entitled "Renewed Petition Under 37 C.F.R. §3.81(b)". This is not a final agency action within the meaning of 5 U.S.C. 704.

The renewed petition should indicate in a prominent manner that the attorney handling this matter is Paul Shanowski, and may be submitted by mail², hand-delivery³, or facsimile⁴.

2 Mail Stop Petition, Commissioner for Patents, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

3 Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA, 22314.

4 (571) 273-8300- please note this is a central facsimile number.

Telephone inquiries regarding *this decision* should be directed to the undersigned at (571) 272-3225. All other inquiries concerning examination procedures or status of the application should be directed to the Technology Center.



Paul Shanowski
Senior Attorney
Office of Petitions
United States Patent and Trademark Office